



11-01-07.

*JP*  
\$

Docket No.: 247171-000381USPT  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
John E. Jones *et al.*

Customer No. 41230

Application No. 10/669,787

Confirmation No. 6413

Filed: September 24, 2003

Art Unit: 4172

For: FINANCIAL DOCUMENT PROCESSING  
SYSTEM

Examiner: James A. Vezeris

**FOURTH INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**CERTIFICATE OF EXPRESS MAILING  
37 C.F.R. 1.10**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as Express Mailing Airbill No. EM 143189289 US in an envelope addressed to: Mail-Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

October 31, 2007  
Date

*Joanna Pinos*  
(Joanna Pinos)

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Fourth Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record.

This application claims priority to U.S. Patent Application No. 60/413,387, filed September 25, 2002, the disclosure of which is hereby incorporated by reference.

In accordance with 37 C.F.R. § 1.98(d), any necessary copies are enclosed. However, in accordance with the September 21, 2004 OG Notice and 37 C.F.R. § 1.98(a)(2)(ii), copies of U.S. patents and U.S. published application references are no longer required and, thus, are not enclosed.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Fourth Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. § 1.56.

This Fourth Information Disclosure Statement is being filed after the receipt of a first Office action reflecting an examination on the merits, but before the mailing date of an action closing prosecution in the application. Accordingly, the Commissioner is hereby authorized to charge the fee of **\$180.00** identified in 37 C.F.R. § 1.97(b) and 37 C.F.R. § 1.17(p), to **Nixon Peabody Deposit Account No. 50-4181, Order No. 247171-000381USPT**. Furthermore, should any additional fees be deemed necessary, the Commissioner is hereby authorized to charge to the same deposit account number any fees due while this application is pending (except the issue fee), or credit any overpayments. A duplicate copy is enclosed for that purpose.

If there are any matters which may be resolved or clarified through a telephone interview, the Examiner is requested to contact the undersigned attorney at (312) 425-8552.

Respectfully submitted,



Peter J. Prommer

Reg. No. 54,743

NIXON PEABODY LLP

161 North Clark Street, 48<sup>th</sup> Floor

Chicago, IL 60601

(312) 425-3900

Attorney for Applicants

Date: October 31, 2007